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MALAUNE | MICHEL

AGENT/ATTORNEY FOR APPLICANT

SEALUNIFIC 28 1999

Attorney Docket No. 0448D

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Zhao et al.

Date:

September 28, 1999

Serial No.:

08/963,096

Group Art Unit:

1649

Filed:

January 24, 1997

Examiner:

G. Benzion

For:

"METHODS FOR AGROBACTERIUM MEDIATED TRANSFORMATION

OF PLANTS"

Box AF Assistant Commissioner for Patents Washington, D.C. 20231

## FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d), PETITION FOR CONSIDERATION UNDER 37 C.F.R. §1.97(d)(2)(ii), AND CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1) OR (e)(2) WITH FEE

Information Disclosure Statement. The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. Copies of each are enclosed, except for those references already part of the filewrapper. This statement is being filed under the provisions of 37 C.F.R. §1.97(d) with the required Certification.

Serial No.: 08/963,096

Group Art Unit: 1649

Petition to Consider. Applicants petition the Office to consider the Information

Disclosure Statement attached hereto in rendering its opinion on the patentability of the subject

matter now claimed in the captioned application. The Office is authorized to charge the \$130.00

fee set forth in 37 C.F.R. §1.17(i) against Deposit Account 16-1852.

Statement of Certification. The undersigned hereby certifies that each item set out in the

attached Form 1449 was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this statement, or that no

item of information contained in this Statement was cited in a communication from a foreign

patent office in a counterpart foreign application or, to the knowledge of the undersigned on

reasonable inquiry, was not known to any individual designated in §1.56(c) more than three

months prior to the filing of this Statement.

Please charge any additional fees under 37 C.F.R. §1.16 or 1.17 which may be required

by this paper, or credit any overpayment, to the indicated Deposit Account.

Respectfully submitted,

Marianne H. Michel

Attorney for Applicant(s)

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INFORMATION DISCLOSURE STATEMENT	APPLICANT	ALCE
BY APPLICANT	Zhao et al.	OCT ( a 1999
(Use several sheets if necessary)	FILING DATE	GROUP
	November 3, 1997	TECH CENTER 1000/290
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## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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## FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.